CHAPTER I

INTRODUCTION

1.1 Background of Research

The word "literature" is derived from the Latin word *litteraturae* means "writings". Literature is the fictional and imaginative writings including philosophy, history and even scientific works that are especially distinguished in form, expression and emotional power addressed to a general audience. The kinds of literature are poetry, prose, prose fiction and drama. (Abrams 177).

One of fictional prose is novel. Etymologically, novel is derived from the Italian word *novello* means 'a small new thing'. Terminologically, novel is a popular genre, its audience is not esoteric and peculiarly learned, but a representative section of society. It is like an epic that has a certain mass to qualify for the title and also has some characters, great complication of plot and concentrates modes. The novel frequently offers a decision, the portrayal of society and focuses on such issues as inheriting property and getting married. (Mikics 209).

Novel is one of literature works. Since it contains intrinsic element which is called character, novel can give an image of human personality. According to Abrams, characters are the responds, in a dramatic or narrative work, endowed with moral and dispositional qualities that are expressed in what they say - the dialogue - and what they do - the action (21). Therefore, character can give an

image of human's personality. This is why it can find a novel which tells about human's psychological problem such as defense mechanism.

In nineteenth century, the types of the novel grow widely. One of the examples is psychological novel. The psychological novel is a work of fiction in which the thoughts, feelings and motivations of the characters are of equal or greater interest than is the external action of the narrative (Kuiper 38). Since the literature can be related to psychology, some criticisms use psychological approach of defense mechanism in analyzing this type.

With all section about defense mechanism, the researcher shall first ask whether research evidence shows that it actually occurs. The strength and generality of this evidence must also be considered. If the defense mechanism is supported in some sense, then the researcher must ask what the cognitive, affective and behavioral processes are. A related question is whether there is evidence of defensive motivation, as opposed to evidence of some merely cognitive error or bias to qualify as a full-fledged defense.

Within *Rita Hayworth and The Shawshank Redemption*, many accurate psychological concepts are present in compelling and captivating ways. The researcher chooses this novel by Stephen King, from the first his 1982 collection *Different Seasons*, subtitled *Hope Springs Eternal*. Another *Different Seasons* collection are *Apt Pupil* subtitled *Summer of Corruption*, *The Body* subtitled *Fall From Innocence* and *The Breathing Method* subtitled *The Winter's Tale*. It was adapted for the screen in 1994 as *The Shawshank Redemption*, which was

nominated for seven Academy Awards in 1994, including Best Picture. The story's themes focus on issues of imprisonment and injustice.

Stephen King was born on September 21, 1947, in Portland, Maine. He graduated from the University of Maine and later worked as a teacher while establishing himself as a writer. John Swithen and Richard Bachman are published work under the pseudonym name of Stephen King. Over the years, King has become known for titles that are both commercially successful and sometimes critically acclaimed. His books have sold more than 350 million copies worldwide and been adapted into numerous successful films.

The works of Stephen King are often epic sweep with their apocalyptic themes and sprawling cast of characters, his more recent works focus on the interpersonal relationships and the often extreme behaviors that mark the relations between men and women. *Rita Hayworth and The Shawshank Redemption* is the opening story in the collection *Different Seasons* (1982) and classic King's themes of fear, confusion, and loss of control, albeit transformed, shifted to the fractured domesticity of a high-security prison and also the conspiracy conflict on the novel. King's works share the certain consistent qualities and hallmarks, such as strong evocation of setting, atmosphere, and character. The author, King has produced an average of a book per year for nearly three decades.

This novel is unique because the story tells about mutual relationship of two convict build the relation together who have opposite characters in Great Contribution. There are the main character Andy Dufresne and Red, Andy

Dufresne is thrown in prison named Shawshank for a crime he did not commit, while his friend, Red is the only person in prison truthful enough to admit that he innocent. In prison, they are placed at the mercy of "honest" wardens and guards who are totally corrupt and make money on scams that should put them inside the walls with the prisoners that exploit and brutalize. In this case, novel Stephen King's *Rita Hayworth and The Shawshank Redemption* to psychoanalysis and describe the character of Andy Dufresne to be analyze, the psychoanalysis theory about defense mechanism is used in the novel.

Finally, by defining the whole body of background statement above, the researcher entertained in the novel and intends to analyze more deeply defense mechanism represented in the story of Stephen King's *Rita Hayworth and The Shawshank Redemption.*

1.2 Statement of Problems

Related to the background of the research, the researcher likes to focus the analysis of the main character. In this case, the researcher formulates the research question as follows:

1. How is Andy Dufresne's character described in the novel Stephen King's *Rita Hayworth and The Shawshank Redemption*?

2. What form of defense mechanism does Andy Dufresne show in the novel Stephen King's *Rita Hayworth and The Shawshank Redemption*?

1.3 Objectives of the Research

Based on the statement of the problems above, the researcher formulates the purposes of the research as follows:

1. To describe the character of Andy Dufresne in the novel Stephen King's *Rita Hayworth and The Shawshank Redemption*.

2. To describe the forms of defense mechanism that Andy Dufresne used in the novel Stephen King's *Rita Hayworth and The Shawshank Redemption*.

1.4 Scope and Limitation

In order to prevent non-relevant problem, the research will be limited to the novel of Stephen King's *Rita Hayworth and The Shawshank Redemption*. Then, the research will be focus on describing Andy Dufresne's defense mechanism. The researcher uses defense mechanism theory.

1.5 Significance of the Research

Through this research, the researcher hopes that this research will be useful to the ones who research about literature especially in Defense Mechanism theory. Then, the researcher divides the significance into two categories. Both are theoretically and practically categories.

Theoretically, the results of the research are expected to give contribution to develop the research and analysis on literary research related to Defense Mechanism theory on the novel *Rita Hayworth and The Shawshank Redemption*

by Stephen King. The researcher expects that it can give knowledge about the characterization and character's life.

Practically, the researcher also expects this research will give an inspiration for other studies especially in English Letters Department of State Islamic University of Sunan Ampel Surabaya. Also, the researcher hopes that this research can be a helpful reference for other researchers who are interested in conducting further research on analyzing novel *Rita Hayworth and The Shawshank Redemption* by Stephen King.

1.6 Method of the Research

Stephen King, the author, connects each character in *Rita Hayworth and The Shawshank Redemption* to multiple defense mechanism stages and theories. Throughout the novel, characters are put through the various and sundry tests of a state penitentiary and subsequently exhibit traits that can be analyzed.

To support the analysis, the researcher mainly used descriptive qualitative method. The research follows the following steps:

1. Reading the novel to get the complete and well understanding on the whole story.

2. Selecting and collecting the data in form of narration and conversation from novel related to the problem.

3. Analyzing the data collected by firstly categorizing them into two points, dealing with two points of the statement of problems. Then,

each point is analyzed using related theories which refer to the objectives of the research.

4. Making conclusion based on the result of data analysis.

1.7 Definition of Key Terms

To avoid any different perceptions between the writer and the readers in understanding the research, it is essential to give some definition of key terms used in this research. Here are the key terms explained:

Pseudonym : A name other than his own taken by a writer. Also known as a pen-name. A pseudonym can also be an alias used by people or groups, like sports stars or criminals. (Cuddon 1999)

Shawshank : The name of prison in the Stephen King's novel *Rita Hayworth and The Shawshank Redemption*. Shawshank have the license-plate factory, a big industrial laundry, Kittery Receiving Hospital and the Eliot Nursing Home. There might be eighty to a hundred and twenty cons on the yard.